

ANNEXURES TO THE CERTIFICATE TO BE FURNISHED ALONG WITH THE APPLICATION

CP-1: Details of Stock / Commodities Exchange Memberships, other than NCDEX

CP-2: Details of Directors/ Partners/ Proprietor

CP-3: Share holding pattern of company/Sharing Pattern of the Partnership Firm

CP-4: Details of the Stock Exchanges in which Applicant Company is listed

The Annexure, CP-3 and CP-4 are to be certified by the Statutory Auditors.

Annexure: CP-1

Certificate dated _____

Submitted by _____ to NCDEX Spot Exchange Limited

DETAILS OF STOCK / COMMODITY EXCHANGE MEMBERSHIPS

<u>S.N</u>	<u>Name</u>	<u>Stock ____ / Commodity Exchange</u>	<u>Since</u>	<u>Membership No.</u>	<u>Mode ____ of acquisition</u>
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- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.

Date:
Place:

Signature(s)

Annexure: CP-2

Certificate dated _____

Submitted by _____ to NCDEX Spot Exchange Limited

DETAILS OF DIRECTORS/PARTNER/PROPRIETOR/SOCIETY MEMBER

<u>S.N</u>	<u>Name</u> <u>Age</u> <u>Education #</u>	<u>Designation.</u> <u>since @</u>	<u>Experience</u> <u>No of years</u> <u>#</u>	<u>Share Holding</u> <u>No.</u> <u>Amt</u> <u>Total</u>	<u>% age of</u>	<u>Directorships/Controlling</u> <u>Share Holding in Other Co.</u> <u>/Entities</u>
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- 1.
- 2.
- 3.
- 4.
- 5.
- 6.

Date:

Place:

Signature(s)

NOTES:

@: Enclose the conduct Certificate / Status report from the stock exchange for the companies.

#: For each of these memberships, give details of disciplinary action, if any, taken by the Stock /Commodity Exchange or any other regulatory/statutory authority in respect of these memberships with dates in the following format:

- * Expulsion
- * Suspension
- * Default
- * Any other disciplinary action or enquiry against the member

CERTIFICATE (for Corporate /Institutions only)

This is to certify that the Shareholding in _____ as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

Place:

For (Name of Accounting Firm)

Name of Partner

Chartered Accountant

Membership Number

Annexure: CP-3 (For Corporate / Institutions)

Certificate Dated _____

Submitted by _____ to NCDEX Spot Exchange Limited

SHARE HOLDING PATTERN of _____ as on _____

<u>Sr. no</u>	<u>Amt paid up</u>	<u>Name # %age of total</u>	<u>Number of Shares held</u>
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
Others @			
	-----	-----	-----
TOTAL		100 %	
	=====	=====	=====

NOTES:

- # In case of
 - ◇ Body corporate, give similar details thereof separately.
 - ◇ Partnership firm, give names of all partners and the sharing pattern.

@ Persons holding 2% or more of the paid up capital should be shown separately and not clubbed in others.

Date:

Place:

Signature(s)

Annexure: CP-3 (For Partnership Firms)

Certificate Dated _____

Submitted by _____ to NCDEX Spot Exchange Limited

SHARING PATTERN of _____ as on _____

Sr. No.	Partner	Capital in the Firm	Share in Profits	Share in Losses
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
		----- -----	-----	
TOTAL		100 %		
		=====	=====	=====

Date:

Place:

Signature(s)

Note: If any company is a partner, please furnish the shareholding of that company.

CERTIFICATE

This is to certify that the Capital and Sharing Pattern of _____ as given above, based on my/our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Date:

For (Name of Accounting Firm)

Place:

Name of Partner

Chartered Accountant

Membership Number

Annexure: CP-4

Certificate dated _____

Submitted by _____ to NCDEX Spot Exchange Limited

To be submitted by listed public company

<u>Sr. No</u>	Name of the Stock Exchange(s) On which company is listed	Listed since (date)
1.		
2.		
3.		
4.		

Date:

Place:

Signature(s)